

Integrated Investment Strategies, Inc.

Relationship Summary – Form CRS – March 2026

Item 1 – Introduction

Integrated Investment Strategies, Inc. (“IIS”) is registered with the Securities and Exchange Commission as a financial adviser. As such, we provide advisory services rather than brokerage services. Financial advisory services and brokerage services differ. It is important for you, our client, to understand the differences in services provided and fees associated with either activity. Please note that free tools are available to research firms and financial professionals at <https://www.investor.gov/CRS> (Ctrl click to access), which also provides educational materials about broker-dealers, financial and investment advisers, and investing.

Item 2 – What investment services and advice can you provide me?

We offer three advisory options to retail clients: 1) The Investment Package provides discretionary authority for us to make the investment decisions, and to supervise and monitor your portfolio. 2) The Integrated Package includes all the services in the Investment Package, in addition to investments primarily in institutional funds, comprehensive reporting, CPA services through CGCPA (standard services at no additional cost; complex services are between you and CGCPA), and the option to work with Trust & Will services for your estate planning needs. 3) Financial Planning Strategy services, such as cash flow analysis, charitable gifting programs, tax services through CGCPA, insurance reviews, and estate planning services through Trust & Will. Option 3 (Financial Planning Strategy services) is available to either of the Packages and is included in The Integrated Package at no additional fee.

We work with your team of other professional advisors (attorney, accountant, insurance agent). We are not attorneys or accountants. Our investment services include portfolio management, asset allocation, and advisory consulting. For a description of these services, please refer to **Form ADV Part 2A, Items 4, 5, and 7. You can access all parts of Form ADV through <https://adviserinfo.sec.gov>** (Ctrl click to access) **Select “Firm” and type Integrated Investment Strategies..**

Monitoring - If you open an investment account with our firm, as part of our standard service, we will monitor your investments frequently, and we review portfolios at varied frequency depending on the services selected.

Investment Authority - We manage accounts on a discretionary basis, which means we decide the timing and investments to buy and sell for your account. We do not sign documents on your behalf. You may provide our firm with certain restrictions in writing.

Limited Investment Offerings – We offer portfolio management through mutual funds and exchange-traded equity securities. IIS does not sell variable annuities, stocks, bonds, limited partnerships, or security commissioned products. The firm is not affiliated with entities that sell financial products or securities on a commission basis. No security commissions in any form are accepted. Commissions from past insurance fixed products are accepted. No finder’s fees are accepted.

Account Minimums and Other Requirements - After mutual agreement, we make our best efforts to accommodate the concerns and preferences of our clients within our investment management process. IIS does not have a minimum account size.

Key Questions to Ask Your Financial Professional

- **Given my financial situation, should I choose a financial advisory service? Why or Why Not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education, and other qualifications?**
- **What do these qualifications mean?**

Item 3A – What fees will I pay?

Fees are assessed and billed quarterly in advance and are assessed at a tiered percentage of the accounts’ market value. We believe this method of billing minimizes conflicts of interest; **the more assets we have under management, the more we receive in fees. If your investments lose money, our fees are lower.** The more assets you have under management, the lower tier percentage will apply. Additional fees will apply, depending on the investment/financial planning option selected. In certain situations, a set-up fee may be

charged. Clients are responsible for fees incurred by other financial professionals. ***We do not earn or accept fees through any source other than your advisory fees.*** Refer to **Form ADV Part 2A Item 5** for specific information pertaining to fees: <https://adviserinfo.sec.gov> (Ctrl click to access). Select “Firm” and **Integrated Investment Strategies**.

Other fees may apply, such as (*we do not share these fees*):

1. Custodian fees (we do not provide custody services), such as maintenance fees.
2. Fees related to mutual funds and ETFs.
3. Transaction charges when purchasing or selling securities.
4. Other product level fees associated with your investments.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Key Questions to Ask Your Financial Professional

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

Item 3B - What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we must act in your best interest and not put our interest ahead of you. As fiduciaries, we put your goals and objectives ahead of our own. At the same time, the way we make money creates some conflicts with your interest. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means: We may, personally, invest in the same securities as you, our client. Our other clients may or may not hold the same securities as you and they may pay fees at different tiers or negotiated rates. 100% of our compensation comes from client fees. For additional information on conflicts of interest, refer to **ADV Form Part 2, Item 10 – Other Financial Industry Activities and Affiliations**.

Key Questions to Ask Your Financial Professional

- How might your conflicts of interest affect me, and how will you address them?
- Can you purchase, sell, or hold the same securities that your clients hold in their investment accounts?

Item 3C - How do your financial professionals make money?

We do not receive commissions or financial benefits from any third-party source or from the recommendations made to you. We are paid a salary, which is not production based.

Item 4 – Do you or your financial professionals have legal or disciplinary history?

No, our firm and our financial professionals currently do not have any legal or disciplinary history to disclose. Visit <https://www.adviserinfo.sec.gov> for a free and simple research tool.

Key Question to Ask Your Financial Professional

As a financial professional, do you have any disciplinary history? For what type of conduct?

Item 5 – What additional information might I find useful?

You can request a copy of this relationship summary (Part 3, Form CRS), and Form ADV Parts 2A and 2B, by calling 317-843-5577 (main number), 317-933-1212 (Daniel’s direct) or emailing Daniel Lubs at daniel@integratedinvestments.com. Form ADV Parts 2A and 2B, and Part 3 Form CRS are also located on our website: <https://integratedinvestments.com>.

Key Questions to Ask Your Financial Professional

- Who is my primary contact person?
- Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?